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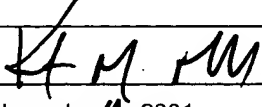
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<h1>TRANSMITTAL</h1> <h1>FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application No.	09/926,016	
	International App. No.	PCT/GB00/00496	
	Filing Date	Aug 15, 2001	
	First Named Inventor	Murgatroyd, Ian J.	
	Group Art Unit	Not yet assigned	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	2	Attorney Docket Number	105.001

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 35 USC 371	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Check for \$65.00
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Kurt Maschoff
Signature	
Date	November 9, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: November 12, 2001			
Type or printed name	Jill Holme		
Signature		Date	November 12, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Applicant(s): Murgatroyd et al.

Attorney Docket No.: 105.001

US Application NO.: 09/926,016

Group Art Unit: Not yet assigned

International Application No.: PCT/GB00/00496

Filed: 8/15/2001

Title: OPTICAL SPECTRUM ANALYZER

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC 371

Commissioner for Patents
Box PCT
Washington, D.C. 20231

Sir:

This is in response to a Notification of Missing Requirements under 35 USC 371. Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

() Statutory basic filing fee () Utility () Design

() Additional claim fees of \$

(X) Missing Parts Surcharge = \$65.00

() A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

() one month = \$110.00

() two months = \$390.00

() three months = \$890.00

() four months = \$1,390.00

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: November 12 2001

Typed Name: Jill Holme

Signature: Jill Holme

Respectfully submitted,

By Kurt M. Maschoff
Kurt M. Maschoff
Reg. No. 38,235
Date: November 1, 2001
Telephone No. (203) 972-0081

☒ Commissioner For Patents, Box PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/926,016	Ian John Murgatroyd	105.001

INTERNATIONAL APPLICATION NO.

PCT/GB00/00496

I.A. FILING DATE	PRIORITY DATE
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02/06/2000

02/16/1999

Kurt Maschoff
Buckley, Maschoff, Talwalker & Allison
111 Elm Street
new Canaan, CT 06840

CONFIRMATION NO. 2419

371 FORMALITIES LETTER



OC000000006946046

Date Mailed: 10/24/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

Buckley, Maschoff, Talwalker & Allison LLC

Date Received: 10/24/01

Matter No.: 105602 Atty: KMM

Items Docketed: Docketed notification of missing requirements
12/24/01 discontinue

Initials: JMA

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions